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| **Appendix 6** to the form summarising information on the criteria for initial suitability assessment of Mr/Ms ………………. - candidate for a member of the Supervisory Board of PKO Bank Polski S.A.**CANDIDATE’S WARRANTY** |
| **SECTION 1 – to be completed by the person under assessment – candidate for a Supervisory Board member** |
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| 1. **Administrative sanctions against the candidate for a Supervisory Board member**
 |
| During the last 5 years: |
| no administrative sanction has been imposed on me. | [ ]  |
| the following administrative sanctions have been imposed on me (please specify): | [ ]  |
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| 1. **Administrative sanctions imposed on other entities in connection with the responsibilities of the candidate for a Supervisory Board member**
 |
| During the last 5 years: |
| no administrative sanction has been imposed on other entities in connection with my responsibilities. | [ ]  |
| the following administrative sanctions have been imposed on other entities in connection with my responsibilities (please specify): | [ ]  |
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| 1. **Legal proceedings that may adversely affect my reputation**
 |
| Currently and over the last 5 years: |
| I am not and was not a party to legal proceedings. | [ ]  |
| I am or was a party to the following legal proceedings (please specify):  | [ ]  |
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| 1. **Administrative, disciplinary or enforcement proceedings**
 |
| Currently and over the last 5 years: |
| I am not and was not a party to administrative, disciplinary or enforcement proceedings | [ ]  |
| I am or was a party to administrative, disciplinary or enforcement proceedings | [ ]  |
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| 1. **Property damage at workplaces**
 |
| During the last 5 years: |
| I have not caused documented property damage at the current workplace or at previous workplace(s) | [ ]  |
| I have caused the following documented property damage at the current workplace or at previous workplace(s) (please specify): | [ ]  |
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| 1. **Prohibitions on self-employment**
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| During the last 5 years: |
| I have not been prohibited from pursuing self-employed activity. | [ ]  |
| I have been prohibited from pursuing self-employed activity, in the following circumstances: | [ ]  |
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| 1. **Prohibitions on performing certain functions**
 |
| During the last 5 years: |
| I have not been prohibited from acting as a representative or an agent of an entrepreneur, a member of a supervisory board or an audit committee in a joint-stock company, limited liability company, limited joint-stock partnership or a cooperative. | [ ]  |
| I have been prohibited from acting as a representative or an agent of an entrepreneur, a member of a supervisory board or an audit committee in a joint-stock company, limited liability company, limited joint-stock partnership or a cooperative, in the following circumstances (please specify): | [ ]  |
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| 1. **Refusal to obtain consent or permission**
 |
| During the last 5 years: |
| I have not been refused any permission or consent in relation to my current or planned activities or in relation to functions held in an entity operating on the financial market. | [ ]  |
| I have been refused permission or consent in relation to my current or planned activities or in relation to functions held in an entity operating on the financial market, in the following circumstances (please specify): | [ ]  |
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| 1. **Cancellation of consent or permission**
 |
| During the last 5 years: |
| no permission or consent has been cancelled in relation to my current or planned activities or in relation to functions held in an entity operating on the financial market. | [ ]  |
| permission or consent has been cancelled in relation to my current or planned activities or in relation to functions held in entities operating on the financial market, in the following circumstances (please specify): | [ ]  |
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| 1. **Termination of employment on the initiative of the employer/ordering party**
 |
| During the last 5 years: |
| my employment with an entity operating on the financial market has not ceased in any form on the initiative of the employer or the ordering party. | [ ]  |
| my employment with entities operating on the financial market has ceased on the initiative of the employers or the ordering parties, in the following circumstances (please specify): | [ ]  |
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| 1. **Proceedings relating to liquidation, bankruptcy, reorganisation or restructuring**
 |
| During the last 5 years: |
| there have been no liquidation, bankruptcy, reorganisation or restructuring proceedings against an entity in which I hold or held a managerial function, or in which I hold or held an interest equal to or exceeding 10% of the total number of votes at the general meeting or in the share capital, or in respect of which I act or acted as a parent company. | [ ]  |
| there have been the following liquidation, bankruptcy, reorganisation or restructuring proceedings against an entity in which I hold or held a managerial function, or in which I hold or held an interest equal to or exceeding 10% of the total number of votes at the general meeting or in the share capital, or in respect of which I act or acted as a parent company: | [ ]  |
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| 1. **Supervisory measures used against the candidate for a Supervisory Board member**
 |
| During the last 5 years: |
| no supervisory measure has been taken by a competent supervisory authority (or a similar action instigated by another authorised entity under separate acts) against me in connection with irregularities in the operations of entities supervised by the competent supervisory authority in which I am or was a member of a governing body at the time of when the supervisory measures were taken. | [ ]  |
| the following supervisory measures have been taken by a competent supervisory authority (or a similar action instigated by another authorised entity under separate acts) against me in connection with irregularities in the operations of entities supervised by the competent supervisory authority in which I am or was a member of a governing body at the time when the supervisory measures were taken: | [ ]  |
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| 1. **Supervisory measures used against other entities**
 |
| During the last 5 years: |
| no supervisory measure has been taken by a competent supervisory authority (or a similar action instigated by another authorised entity under separate acts) against an entity in which I hold or held an interest equal to or exceeding 10% of the total number of votes at the general meeting or in the share capital, or in respect of which I act or acted as a parent company, in connection with irregularities in the entity’s operations, if the entity pursues or pursued activity supervised by the competent supervisory authority in the country of its establishment. | [ ]  |
| the following supervisory measures have been taken by a competent supervisory authority (or a similar action instigated by another authorised entity under separate acts) against an entity in which I hold or held an interest equal to or exceeding 10% of the total number of votes at the general meeting or in the share capital, or in respect of which I act or acted as a parent company, in connection with irregularities in the entity’s operations, if the entity pursues or pursued activity supervised by the competent supervisory authority in the country of its establishment: | [ ]  |
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| 1. **Discharge for the performance of duties**
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| During the last 5 years: |
| there have been no instances where competent bodies adopted resolutions refusing to grant me discharge for the performance of my duties. | [ ]  |
| there have been the following instances where competent bodies adopted resolutions refusing to grant me discharge for the performance of my duties: | [ ]  |
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| 1. **Disciplinary penalties**
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| During the last 5 years: |
| there have been no instances where the employer imposed on me the disciplinary penalties specified in Article 108 of the Act of 26 June 1974 - Labour Code | [ ]  |
| there have been the following instances where the employer imposed disciplinary penalties on me: | [ ]  |
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| 1. **Reprimands**
 |
| During the last 5 years: |
| there have been no instances where a supervisory authority reprimanded me or identified me as the person responsible for an irregularity warranting the issuance of a reprimand to an entity in which I held a function. | [ ]  |
| there have been the following instances where a supervisory authority reprimanded me or identified me as the person responsible for an irregularity warranting the issuance of a reprimand to an entity in which I held a function: | [ ]  |
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| I confirm the statements made in this form and I am aware of the criminal liability for making a false statement, pursuant to Article 31a of the Banking Law act and Article 233 of the Penal Code. |
| Date and signature of the candidate for a Supervisory Board member: |  |

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